

2100
UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 01-381)

SPECTOR
PATENT

In The Application Of:

Schmitz, et al.

Serial No. 09/896,061

Filed: June 29, 2001

Title Automated Execution System Having
Participation

2164
Examiner:

Group Art Unit:

COPY OF PAPERS
ORIGINALLY FILED

TRANSMITTAL LETTER

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

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Technology Center 2100

In regard to the above identified application:

1. We are transmitting herewith the attached:

- a. A Copy of Notice To File Missing Parts;
- b. Response to Notice to File Missing Parts;
- c. Executed Copy of Oath of Declaration; and
- d. Return Receipt Postcard.

2. With respect to additional fees:

☒ Check in the amount of \$130.00.

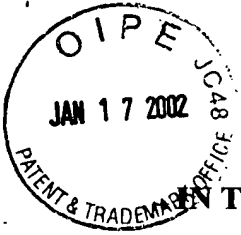
3. Please charge any additional fees or credit overpayment to Deposit Account No.13-2490.
A duplicate copy of this sheet is enclosed.

4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on this 19th day of October, 2001.

Respectfully submitted,

By:

Mark W. Triplett
Reg. No. 49,002



PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 01-381)

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RESPONSE TO NOTICE TO FILE MISSING PARTS
MAILED AUGUST 21, 2001 **MAR 26 2002**

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

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Sir:

Responsive to the Notice to File Missing Parts of Application, mailed August 21, 2001,
Applicants submit herewith an executed copy of their Declaration, pursuant to 37 C.F.R. §§1.63
and 1.68.

A check in the amount of \$130.00 accompanies this Response.

Respectfully submitted,
McDonnell Boehnen Hulbert & Berghoff

Date: October 19, 2001

By: Mark W. Triplett
Mark W. Triplett
Reg. No. 49,002



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/896,061	06/29/2001	David J. Schmitz	01-381

Mark W. Triplett
McDonnell Boehnen Hulbert & Berghoff
300 S. Wacker Drive, 32nd Floor
Chicago, IL 60606

CONFIRMATION NO. 9465

RESEND COVER LETTER



OC000000006821495

Date Mailed: 10/17/2001

COVER LETTER FOR RESENDING CORRESPONDENCE

A courtesy copy of the Notice mailed on 08/21/2001 is enclosed. The time period for reply continues to run from the mail date of that Notice.

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*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202
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JAN 17 2002

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/896,061	06/29/2001	David J. Schmitz	01-381

CONFIRMATION NO. 9465

FORMALITIES LETTER



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Mark W. Triplett
 McDonnell Boehnen Hulbert & Berghoff
 300 S. Wacker Drive, 32nd Floor
 Chicago, IL 60606

Date Mailed: 08/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

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